

P23808.P01 **UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P23808	Total Pages	
Inventor(s) or Application Identifier: Shuichi TAKEUCHI, and Daisuke KOREEDA			
Title: SCANNING OPTICAL SYSTEM			

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

U.S. PTO
10/66362
09/17/03
22278

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	a. <input type="checkbox"/> Small Entity Statement(s)	a. <input type="checkbox"/> Computer Readable Form (CRF)	b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) - Descriptive title of the Invention	[Total Pages 48]	c. <input type="checkbox"/> Statements verifying identity of above copies	
- Cross References to Related Applications		10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
- Statement Regarding Fed sponsored R & D		11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney	
- Reference to sequence listing, a table, or a computer program listing appendix		12. <input type="checkbox"/> English Translation Document (if applicable)	
- Background of the Invention		13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
- Brief Summary of the Invention		14. <input type="checkbox"/> Preliminary Amendment	
- Brief Description of the Drawings (if filed)		15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
- Detailed Description		16. <input checked="" type="checkbox"/> Figure of Drawing to be published: 8	
- Claim(s)		17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority	
- Abstract of the Disclosure		b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 13]		18. <input checked="" type="checkbox"/> Assignee: PENTAX Corporation, of Tokyo, Japan	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]		19. <input checked="" type="checkbox"/> Other: Cover Letter Regarding Executed Application	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted			
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]			
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
8. <input type="checkbox"/> CD-Rom or CD-R, in duplicate, large table or Computer Program (Appendix)			
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____. Prior application information: Examiner: _____ Group Art Unit: _____			
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.			

Address all future correspondence to Customer No. 7055 at the present address of:

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Reston, VA 20191
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Date

9/17/03


Signature
33,329

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name


 18334 U.S. PTO
 09/17/03

FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Shuichi TAKEUCHI et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$844.00)

Attorney Docket Number P23808

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089
 Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
 Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (fees effective 01/01/03)
1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	<u>750.00</u>
1002	330	2002	165	Design filing fee	_____
1003	520	2003	260	Plant filing fee	_____
1004	750	2004	375	Reissue filing fee	_____
1005	160	2005	80	Provisional filing fee	_____

SUBTOTAL (1) (\$) 750.00
2. CLAIMS

Total Claims	-20=	Extra	Fee from below	Fee Paid
<u>23</u>	<u>-20=</u>	<u>3</u>	<u>x 18</u>	<u>54.00</u>
<u>Independent</u> <u>2</u>	<u>-3=</u>	<u>0</u>	<u>x 84</u>	<u>0.00</u>

 Claims Multiple Dependent Claims 0 x 280 = 0.00

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 54.00
SUBMITTED BY
Complete (if applicable)

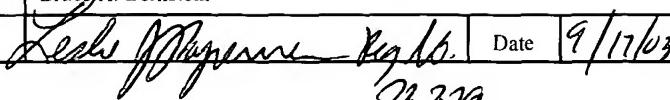
Typed or Printed Name

Bruce H. Bernstein

Reg. Number

29,027

Signature



Date

9/17/03

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shuichi TAKEUCHI et al.

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : SCANNING OPTICAL SYSTEM

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicants' Japanese representative on September 16, 2003, and pages 1 - 48 of the specification (with Figures 1 - 23) which were earlier forwarded to the undersigned by Applicants' Japanese representative on September 11, 2003. Further, the undersigned has been advised by the Japanese representative that the inventors had reviewed the application before executing the declaration.

Accordingly, Applicants respectfully submit that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

P23808.A01

Applicants respectfully request that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,
Shuichi TAKEUCHI et al.


Bruce H. Bernstein
Reg. No. 29,027 Reg. No. 33,329

September 16, 2003
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, Virginia 20191
(703) 716-1191